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Atty.Docket No. 080308

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Norio MURASE and Masanori ANDO

Application No./Patent No.: 10/518,216

Filed/Issue Date: September 26, 2005

Entitled: SEMICONDUCTOR SUPERFINE PARTICLE PHOSPHOR AND LIGHT EMITTING DEVICE
NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL
SCIENCE AND TECHNOLOGY

_____, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 016757, Frame 0520, or for which a copy therefore is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Mel R. Quintos

Signature

September 26, 2008

Date

Mel R. Quintos

Printed or Typed Name

(202) 659-2930

Telephone Number

Attorney for Applicants, Reg. No. 31,898

Title



United States Patent and Trademark Office

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Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

**NOTE: Results display only for issued patents and published applications.
For pending or abandoned applications please consult USPTO staff.**

Total Assignments: 1

Patent #: NONE

Issue Dt:

Application #: 10518216

Filing Dt: 09/26/2005

Publication #: 20060097624

Pub Dt: 05/11/2006

Inventors: Norio Murase, Masanori Ando

Title: Semiconductor superfine particle phosphor and light emitting device

Assignment: 1

Reel/Frame: 016757/0520

Recorded: 07/11/2005

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: MURASE, NORIO

Exec Dt: 01/12/2005

ANDO, MASANORI

Exec Dt: 01/12/2005

Assignee: NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL SCIENCE AND TECHNOLOGY

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SAEG129.007APC
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RECORDATION DATE: 07/11/2005

REEL/FRAME: 016757/0520
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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: SAEG129.007APC

ASSIGNOR:

MURASE, NORIO

DOC DATE: 01/12/2005

ASSIGNOR:

ANDO, MASANORI

DOC DATE: 01/12/2005

ASSIGNEE:

NATIONAL INSTITUTE OF ADVANCED
INDUSTRIAL SCIENCE AND
TECHNOLOGY

3-1, KASUMIGASEKI 1-CHOME, CHIYODA-
KU

TOKYO 100-8921, JAPAN

SERIAL NUMBER: 10518216

FILING DATE: 09/26/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: SEMICONDUCTOR SUPERFINE PARTICLE PHOSPHOR AND LIGHT EMITTING DEVICE

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TONYA LEE, EXAMINER
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/518,216	09/26/2005	1714	3120	SAEG129.007APC	3	28	1

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CONFIRMATION NO. 5734

FILING RECEIPT

0C000000017674384

Date Mailed: 01/17/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Norio Murase, Osaka, JAPAN;
Masanori Ando, Osaka, JAPAN;

Power of Attorney:

Katsuhiro Arai-43315

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/05648 05/06/2003

Foreign Applications

JAPAN 2002-178449 06/19/2002

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

Title

COPY

Semiconductor superfine particle phosphor and light emitting device

Preliminary Class

252

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ASSIGNMENT

PATENT

WHEREAS, I/WE (1) MURASE Norio

a Japanese citizen, residing at c/o Kansai Center of NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL SCIENCE AND TECHNOLOGY, 8-31, Midorigaoka 1-chome, Ikeda-shi, Osaka 563-8577, Japan

(2) ANDO Masanori

a Japanese citizen, residing at c/o Kansai Center of NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL SCIENCE AND TECHNOLOGY, 8-31, Midorigaoka 1-chome, Ikeda-shi, Osaka 563-8577, Japan

(3) _____

a _____ citizen, residing at _____

hereinafter referred to as Assignor (collectively if more than one inventor is listed above), have invented certain new and useful improvements in SEMICONDUCTOR SUPERFINE PARTICLE PHOSPHOR AND

LIGHT EMITTING DEVICE

the specification of which:

- (a) ☐ is attached hereto; or
- (b) ☒ was filed on December 16, 2004 as Application No. 10/518216 or Express Mail No., as Application No. not yet known _____ and was amended on _____ (if applicable); or
- (c) ☒ was described and claimed in PCT International Application No. PCT/JP2003/005648 filed on May 6, 2003 and as amended under PCT Article 19 on _____ (if any) and/or under PCT Article 34 on _____ (if any).

AND WHEREAS, NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL SCIENCE AND TECHNOLOGY

with its principal place of business at 3-1, Kasumigaseki 1-chome, Chiyoda-ku, Tokyo 100-8921, Japan, (hereinafter referred to as Assignee) desires to acquire the entire right, title, and interest in and to the said improvements with respect to the United States of America, its territories and possessions.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby acknowledges that it has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title, and interest in the United States of America, and its territories and possessions in, to and under said improvements, and any Patent Applications in the United States of America and all divisions, renewals and continuations thereof, and all Patents of the United States of America which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions; and Assignor hereby authorizes and requests the Commissioner of Patents of the United States of America to issue all Patents for

said improvements to Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR HEREBY covenants and agrees that it will communicate to Assignee, its successors, legal representatives and assigns, any facts known to it respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in the United States of America.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature.

This 12th day of January, 20 05

Norio Murase
Signature of MURASE Norio

This 12th day of January, 20 05

Masanori Ando
Signature of ANDO Masanori

This _____ day of _____, 20 _____

Signature of